

May 1, 2023

Asset Management One Alternative Investments, Ltd. (AMOAI)

### **Disclosure Under SFDR Regulatory Technical Standards**

The Sustainable Finance Disclosure Requirement (SFDR), which came into effect in March 2021, aims to increase transparency in the assessment of sustainability risks by creating standardized rules for financial market participants across the EU in order so that investors can compare financial products, and avoid “green-washing”. Under the SFDR, each asset manager is required to categorize its own financial products as Article 6, Article 8, or Article 9 and follow certain disclosure requirements for each category.

The EU-domiciled infrastructure debt funds that we manage as an investment manager have been categorized as Article 8 funds, which refers the products promoting environmental and social characteristics under the SFDR<sup>1</sup>. For Article 8 funds, the promotion, assessment, monitoring, and negative screening of environmental and social characteristics should be conducted, in addition to integration of sustainability risks in the investment process.

With the application of SFDR Regulatory Technical Standards (RTS) being in place in January 2023, we disclose the environmental and social characteristics promoted by the EU-domiciled infrastructure debt funds that we manage, along with the investment ratios, specific indicators, assessment processes and monitoring methods, etc. on the website below:

<https://funds.carnegroup.com/cosmic-blue-funds>

AMOAI will continue to utilize “essentiality” as a key pillar of its investment philosophy, focusing on making investments in indispensable infrastructure projects in the host country or local community and to make every effort to construct high-quality and globally diversified portfolios. Moreover, as a signatory to the PRI<sup>2</sup>, AMOAI will continue to contribute to the promotion of ESG and focus on expanding its investment products to meet the needs of our institutional client investors.

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<sup>1</sup> Please refer to AMOAI News Release dated April 23, 2021.  
[http://www.am-one.co.jp/pdf/amoai/release/19/20210423\\_2\\_AMOAI.pdf](http://www.am-one.co.jp/pdf/amoai/release/19/20210423_2_AMOAI.pdf)

<sup>2</sup> Asset Management One is a signatory to the PRI and AMOAI complies with the PRI as a group company.