Basic Policy on Sustainability Initiatives

Established: 1 October 2021
Revised: 10 July 2025

(Purpose)
Article 1
This Basic Policy sets forth the fundamental principles for Asset Management One Co., Ltd. (hereinafter, "AM-One") and its group companies under its management (hereinafter, collectively referred to as the "AM-One Group") to advance unified initiatives related to "Sustainability" across the group.

(Basic Approach)
Article 2
By addressing materiality areas from a long-term perspective, we will seek to create value for various stakeholders and work to improve our own corporate value through the sustainable and steady growth of the company. This in turn will contribute to environmental conservation and the sustainable development and prosperity of the economy, industry, and society both in Japan and around the world.

② We will implement sustainability initiatives based on the following approaches.
1. In regards to the economy, industry, society, and environment, AM-One will endeavor to increase our positive impacts and decrease our negative impacts, both direct and indirect.
2. AM-One, as a financial group, will especially focus on indirect impacts we generate through provision of financial and other services and through engagement with clients. We will provide our clients with multi-faceted support for initiatives addressing the Sustainable Development Goals (SDGs) and environmental, social, and governance (ESG) concerns.
3. In the event of a conflict of interest or differences of opinions among stakeholders in regards to impacts and the timeframe for implementation, we will make a comprehensive decision by taking into account the

circumstances or situation as well as international frameworks, agreements, or consensus and employing a long-term perspective.

(Definitions)
Article 3
In this Basic Policy, “Sustainability” refers to environmental preservation, the sustainable development and prosperity of domestic and international economies, industries, and societies, as well as the sustainable and stable growth of the AM-One Group.

(Promotion Framework)
Article 4
The AM-One Group shall ensure that AM-One uniformly promotes initiatives related to “Sustainability” across its subsidiaries, as defined in Article 1 of the Subsidiary Management Regulations.

4.1 Subsidiaries that establish or revise policies related to “Sustainability” requiring alignment with this Basic Policy must submit applications to AM-One for approval. In other cases, subsidiaries shall report such establishment or revision to AM-One.
4.2 AM-One shall issue necessary instructions to ensure that subsidiaries implement initiatives related to “Sustainability” in a manner suited to their respective circumstances.
4.3 AM-One shall receive reports from subsidiaries on their initiatives related to “Sustainability” as needed.
4.4 Based on reports from subsidiaries, AM-One shall monitor the status of initiatives related to “Sustainability” and take appropriate measures as necessary.

(Governance)
Article 5
The Board of Directors shall resolve fundamental matters related to the AM-One Group’s “Sustainability” initiatives in accordance with this Basic Policy.

5.1 The President shall oversee AM-One's "Sustainability" initiatives.
5.2 The Head of the Investment Division and the Head of the Planning Division shall be responsible for planning and managing matters related to "Sustainability" initiatives in accordance with this Basic Policy. They shall report the status of such initiatives to the Board of Directors, the Audit and Supervisory Committee, the Executive Committee, and the President on a regular basis or as necessary.
5.3 The Head of the Investment Division and the Head of the Planning Division shall take necessary measures to ensure the proper implementation of "Sustainability" initiatives, including the development of personnel with expertise in "Sustainability," the assignment of dedicated staff, and the organisation of resources, in consultation with the relevant executive officers of related departments.
5.4 The Sustainable Investment Strategy Department and the Human Resources and General Affairs Department shall collect information related to "Sustainability," disseminate information to subsidiaries, disclose information internally and externally regarding "Sustainability" initiatives, engage in stakeholder communication, and propose and promote plans related to "Sustainability" initiatives.

(Promotion Methods)
Article 6
AM-One shall promote initiatives related to "Sustainability" through the following methods:

1. AM-One and its subsidiaries shall formulate medium-term management plans and business plans that reflect the "Materiality" of Mizuho Financial Group, Inc. and the "Key Issues (Materiality)" of Dai-ichi Life Holdings, Inc., to advance unified initiatives related to "Sustainability" across the group.
2. AM-One shall actively engage in dialogue with asset owners, research institutions, and international NGOs to appropriately understand global environmental and social issues and identify directions for initiatives. Based on these dialogues, AM-One shall develop its own "Materiality Map" as a guiding framework and incorporate it into all business activities to address challenges.

3. AM-One shall prioritise dialogue with stakeholders and disclose information externally to ensure that the AM-One Group's "Sustainability" initiatives align with societal norms and expectations.

(Amendments)
Article 7
Amendments to this Basic Policy shall be subject to resolution by the Board of Directors. However, minor revisions, such as changes to organisational names or references to external regulations, that do not involve substantive changes to the content may be decided by the Head of the Investment Division and the Head of the Planning Division.

(Detailed Rules)
Article 8
Detailed rules related to this Basic Policy shall be determined by the Head of the Investment Division. However, minor revisions, such as changes to organisational names or references to external regulations, that do not involve substantive changes to the content may be decided by the Head of the Sustainable Investment and the Head of the Human Resources & Facility Services.

(Responsible Departments)
Supplementary Provisions
The departments responsible for this Basic Policy shall be the Sustainable Investment and the Human Resources & Facility Services.